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**CONFIRMATION NO. 3202** 

| SERIAL NUMBER<br>10/041,752  | FILING DATE<br>01/07/2002<br>RULE                           | CLASS<br>705   |                               | GROUP AR <sup>*</sup><br>3624 |              | ATTORNEY<br>DOCKET NO.<br>4103/1 |
|--|---|----------------|-------------------------------|-------------------------------|--------------|----------------------------------|
| APPLICANTS   |   |                |                               |                               |              |                                  |
| Deborah A. Dixon, Milford, CT, Deceased;   |   |                |                               |                               |              |                                  |
| Deborah A. Dixon, Milford, CT, Deceased;  Delores A. Dixon, Milford, CT, Legal Representative;                   |   |                |                               |                               |              |                                  |
| ** CONTINUING DATA<br>This appln claim   | A ************************************                      | 3 01/05/2001   |                               |                               | P            |                                  |
| ** FOREIGN APPLICATIONS ************************************   |   |                |                               |                               |              |                                  |
| IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **<br>** 02/06/2002                                  |   |                |                               |                               |              |                                  |
| Foreign Priority claimed<br>35 USC 119 (a-d) conditions  | yes no no Met afte  | STAT           | E OR                          | SHEETS                        | TOTAL        | L INDEPENDE                      |
| met<br>Verified and  | Allowance   | cOUN           |                               | DRAWING<br>9                  | CLAIM:<br>34 |                                  |
| ADDRESS<br>29858<br>BROWN, RAYSMAN, MILLSTEIN, FELDER & STEINER LLP<br>900 THIRD AVENUE<br>NEW YORK, NY<br>10022 |   |                |                               |                               |              |                                  |
| TITLE<br>System and method for   | r asset accumulation ar                                     | nd risk manage | ment                          |                               |              |                                  |
| No   | : Authority has been giv<br>to charge/cre<br>for following: | NT             | 1.17 Fees (Processing Ext. of |                               |              |                                  |